

Schedule T - Fiduciary and Related Services (State Chartered Banks)

| | (Column A) Managed Assets | (Column B) Non-Managed Assets | (Column C) Number of Managed Accounts | (Column D) Number of Non-Managed Accounts | |
|---|---------------------------------|-------------------------------------|--|--|------|
| | (000s) | (000s) | | | |
| FIDUCIARY AND RELATED ASSETS | | | | | |
| 4. Personal trust and agency accounts..... | 3,070,743 | 623,379 | 4,525 | 431 | 4. |
| 5. Retirement related trust and agency accounts: | | | | | |
| a. Employee benefit - defined contribution..... | 182,079 | 8,596,899 | 1,315 | 14,786 | 5.a. |
| b. Employee benefit - defined benefit..... | 128,129 | 19,006,481 | 28 | 458 | 5.b. |
| c. Other retirement accounts..... | 179,226 | 193,804 | 646 | 1,035 | 5.c. |
| 6. Corporate trust and agency accounts. | 4,013 | 33,382,623 | 25 | 17,060 | 6. |
| 7. Investment management agency accounts..... | 2,114,101 | | 1,533 | | 7. |
| 8. Other fiduciary accounts | 12,326 | 215,098 | 17 | 73 | 8. |
| 9. Total fiduciary accounts (sum of items 4 through 8) | 5,690,617 | 62,018,284 | 8,089 | 33,843 | 9. |
| 10. Custody and safekeeping accounts | | 205,320,416 | | 4,351 | 10. |
| 11. Not applicable | | | | | |

Memoranda

| | Managed Assets (000s) | |
|--|--------------------------|--------|
| 1. Managed assets held in personal trust and agency accounts: | | |
| a. Noninterest-bearing deposits | 198 | M.1.a. |
| b. Interest-bearing deposits..... | 101,401 | M.1.b. |
| c. U.S. Treasury and U.S. Government agency obligations..... | 138,495 | M.1.c. |
| d. State, county and municipal obligations..... | 346,217 | M.1.d. |
| e. Money market mutual funds..... | 390,048 | M.1.e. |
| f. Other short-term obligations..... | 3,032 | M.1.f. |
| g. Other notes and bonds..... | 106,811 | M.1.g. |
| h. Common and preferred stocks..... | 1,223,573 | M.1.h. |
| i. Real estate mortgages | 30,655 | M.1.i. |
| j. Real estate | 435,453 | M.1.j. |
| k. Miscellaneous assets | 294,860 | M.1.k. |
| l. Total managed assets held in personal trust and agency accounts (sum of Memorandum items 1.a through 1.k) (must equal Schedule T, item 4, column A)..... | 3,070,743 | M.1.l. |

Schedule T - Fiduciary and Related Services (State Chartered Banks)

Memoranda (Continued)

| | (Column A) Number of Issues | (Column B) Principal Amount Outstanding (000s) | |
|---|-----------------------------------|---|--------|
| 2. Corporate trust and agency accounts: | | | |
| a. Corporate and municipal trusteeships..... | 2,653 | 73,058,095 | M.2.a. |
| b. Transfer agent, registrar, paying agent, and other corporate agency..... | 1,185 | | M.2.b. |

| | (Column A) Number of Funds | (Column B) Market Value of Fund Assets (000s) | |
|---|----------------------------------|--|--------|
| 3. Collective investment funds and common trust funds: | | | |
| a. Domestic equity..... | 3 | 34,599 | M.3.a. |
| b. International/Global equity..... | 0 | 0 | M.3.b. |
| c. Stock/Bond blend..... | 2 | 34,798 | M.3.c. |
| d. Taxable bond..... | 1 | 580 | M.3.d. |
| e. Municipal bond | 2 | 91,449 | M.3.e. |
| f. Short term investments/Money market..... | 0 | 0 | M.3.f. |
| g. Specialty/Other..... | 0 | 0 | M.3.g. |
| h. Total collective investment funds (sum of Memorandum items 3.a through 3.g)..... | 8 | 161,426 | M.3.h. |

P. Trust Business for Which Securities Are on Deposit with the State Treasurer

| | (Column A) Court Trusts | (Column B) Private Trusts | |
|---|----------------------------|------------------------------|------|
| P.1. Trust business for which securities are on deposit with the State Treasurer..... | 559,071 | 270,702,583 | P.1. |
| P.2. Less: real estate..... | 72,887 | | P.2. |
| P.3. Trust business on which security is required..... | 486,184 | 270,702,583 | P.3. |
| P.4. Amount of security required by Sections 1540 and 1541 of the Financial Code..... | 6,675 | 19,397 | P.4. |
| P.5. Market value of securities on deposit with the State Treasurer..... | 14,188 | 24,041 | P.5. |
| P.6. Excess or deficiency; denote negative amount in ()..... | 7,513 | 4,644 | P.6. |